

## INTERMEDIARY PANEL APPLICATION

Thank you for contacting the Loughborough Building Society. As part of our due diligence process can you please provide us with the following information.

as with the following information:		
Firm Name		
Companies House No. (where applicable)		
Business Address		
	Postcode	
Email		
Office Telephone	Mobile	
Date of application to Loughborough Panel		

Are you directly authorised by the FCA? Yes/No	If Yes please state FCA Number	
--	--------------------------------	--

Are you an appointed representative? Yes/No		Network Name	
Network FCA Number			

Do you have PI Insurance? Yes/No	Policy Number
-------------------------------------	---------------

<b>Please complete the following?</b>	
How many advisers are there in your office?	
On average as an individual how many mortgages do you write on a monthly basis?	
Do you charge your clients a fee? If so, what is the flat fee or %?	
Have you been removed from another lenders panel in the last 5 Years?	
Complaints that fall within the FCA DISP 1 regulation in the Last 3 years?	

Notes
-------

Payment of Procurement Fees by BACS							
DA Broker Company				AR Network			
Bank Name				Bank Name			
Sort Code				Sort Code			
Account Number				Account Number			
Account Name				Account Name			
Reference(if applicable)				Reference (If applicable)			

**Declaration - (to be signed by the Principal of the firm)**

☐

I confirm that the firm holds current authorisation from the Financial Conduct Authority (FCA) for advising on and arranging mortgages

OR

☐

I confirm that I am an Appointed Representative of an organisation authorised by the FCA for advising on and arranging mortgages.

Signed: \_\_\_\_\_

Print name: \_\_\_\_\_

Position held: \_\_\_\_\_

Date: \_\_\_\_\_

It's your responsibility to advise Loughborough Building Society immediately if any of your permissions are withdrawn, you are under investigation for breach of the Financial Services and Markets Act 2000 or under investigations by any other regulatory body.

Thank you for completing the registration, after consideration Loughborough Building Society will contact you to confirm whether you are eligible to submit business to the Society. We'll then issue you with a copy of our Terms of Business for Intermediaries. If there is anything that you're unable to comply with please contact us as soon as possible.

Once complete, please **send your application together with a copy of your Professional Indemnity Insurance** to [brokers@theloughborough.co.uk](mailto:brokers@theloughborough.co.uk) or by post to: Intermediary Registration, Loughborough Building Society, 6 High Street, Loughborough LE11 2QB.

If you have any questions or concerns please don't hesitate to contact our Business Development Manager, Ashley Pearson on 07754 031014