

## INTERMEDIARY PANEL APPLICATION

### Directly Authorised Advisers Only

Thank you for contacting the Loughborough Building Society. As part of our due diligence process can you please provide us with the following information.

Firm Name			
Companies House No. (where applicable)			
Business Address			
	Postcode		
Email			
Office Telephone	Mobile		
Date of application			

Are you directly authorised by the FCA? Yes/No	FCA Number:
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Do you have PI Insurance? Yes/No	Policy Number:
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<b>Please complete the following:</b>	
How many advisers are there in your office?	
On average as an individual how many mortgages do you write on a monthly basis?	
Do you charge your clients a fee? If so, what is the flat fee or %?	
Have you been removed from another lenders panel in the last 5 Years?	
Complaints that fall within the FCA DISP 1 regulation in the Last 3 years?	

<b>Notes – please provide any additional information you consider relevant:</b>

Payment of Procurement Fees by BACS
Bank Name:
Sort Code:
Account Number:
Account Name:
Reference (if applicable):

**Declaration – to be signed by the Principal of the Firm**

I confirm that I/the firm holds current authorisation from the Financial Conduct Authority (FCA) for advising on and arranging mortgages

Signed: \_\_\_\_\_

Print name: \_\_\_\_\_

Position held: \_\_\_\_\_

Date: \_\_\_\_\_

It's your responsibility to advise Loughborough Building Society immediately if any of your permissions are withdrawn, you are under investigation for breach of the Financial Services and Markets Act 2000 or under investigations by any other regulatory body.

Thank you for completing the registration, after consideration Loughborough Building Society will contact you to confirm whether you are eligible to submit business to the Society. We'll then issue you with a copy of our Terms of Business for Intermediaries. If there is anything that you're unable to comply with, please contact us as soon as possible.

Once complete, please **send your application together with a copy of your Professional Indemnity Insurance** to [brokers@theloughborough.co.uk](mailto:brokers@theloughborough.co.uk) or by post to: Intermediary Registration, Loughborough Building Society, 6 High Street, Loughborough LE11 2QB.

If you have any questions or concerns please don't hesitate to contact our **Intermediary Support, on 01509 631953**